

## Motivation

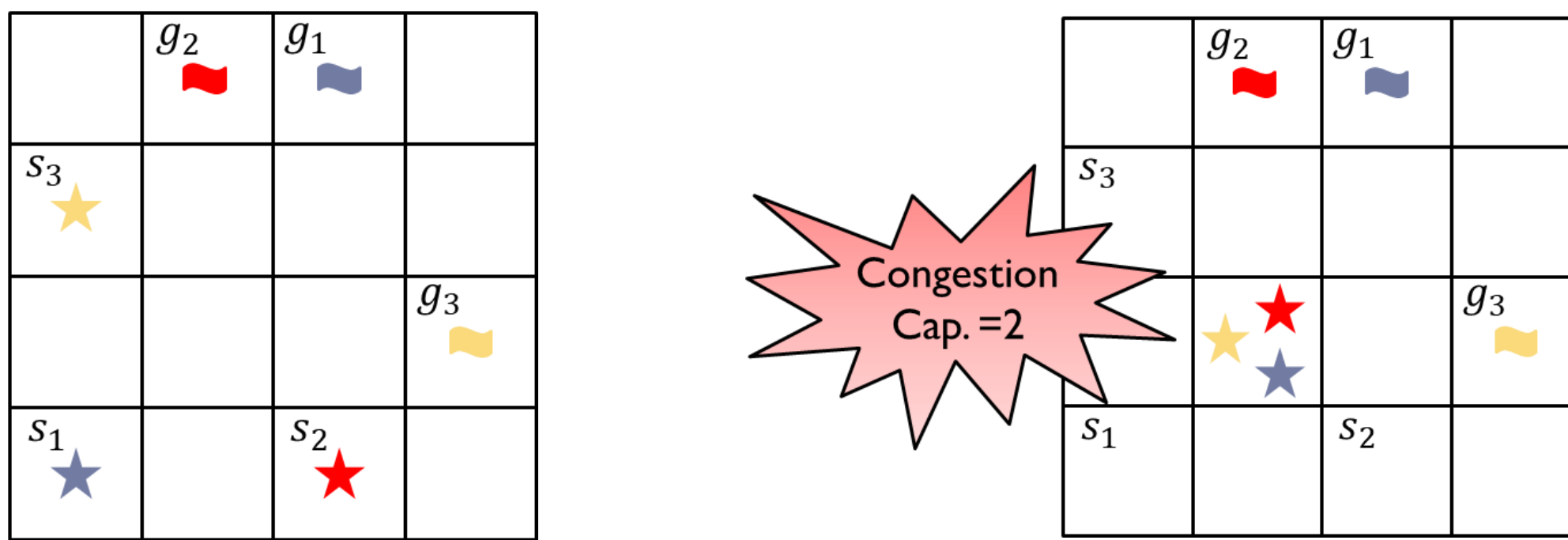


How to navigate autonomous vehicles in a partially observable environment with uncertainty?

## Our Contributions

- We formulated a zone based path finding problem (ZBPF)
  - Under uncertainty
  - Partial Observability
- We presented a novel formulation of policy optimization
  - Based on difference-of-convex functions (DC) programming
- We developed a simulator for ZBPF using Unity3D game engine

## Problem Formulation

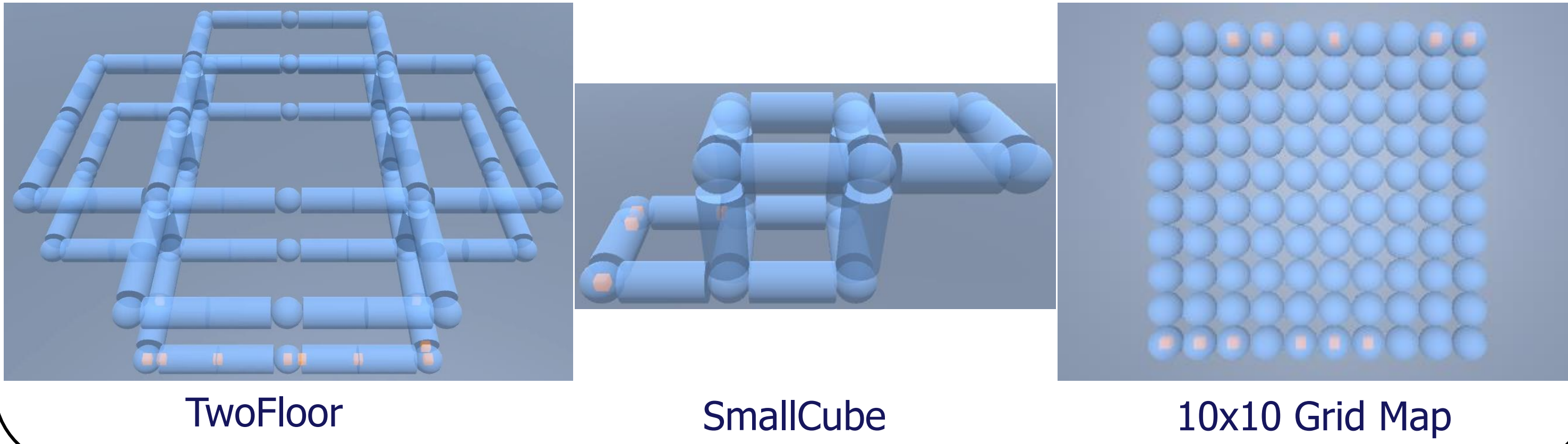


- A graph  $G = (V, E)$
- Each zone has a capacity
- A set of agents with sources and destinations
- Crossing two zones requires **minimum** and **maximum** time

**Objective: Minimize travel time and Congestion**

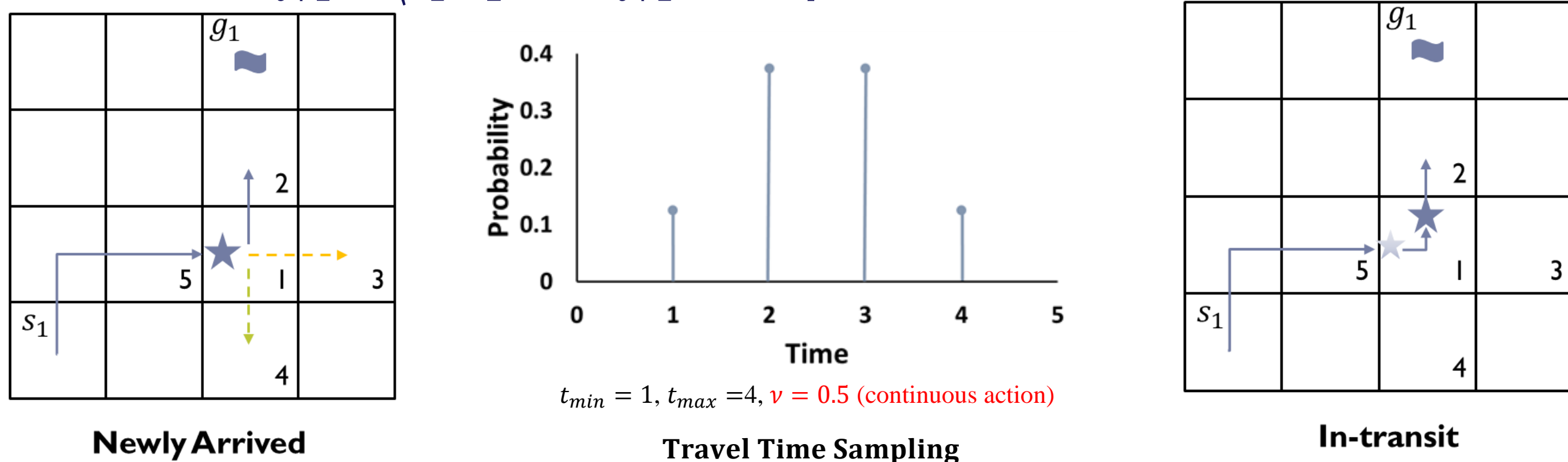
## Our Simulator

- Simulator: Unity 3D game engine
- The spheres are the zones, and cubes are the agents.
- Highlighted zone: there is congestion
- Highlighted agent: reached destination



## Agent's Decision Model

- State**
  - State is a tuple of three components:  $\langle \text{current zone}, \text{next zone}, \text{remaining time} \rangle$
- Hybrid Action (discrete and continuous)**
  - Discrete action: which zone to go
  - Continuous action: with what speed
- An example**
  - An agent is newly arrived in zone 1 at time  $t$ .
  - We have  $s_t = \langle z_1, \Phi, \Phi \rangle$ ,  $a_t = \langle z_2, 0.5 \rangle$ .
  - The realized time (say, 2) is sampled from a binomial distribution.
  - We have  $s_{t+1} = \langle z_1, z_2, 1 \rangle$ ,  $a_{t+1} = \text{noop}$ .



- Transition Function (exponential family)**
  - $p(s'^i | s^i, a^i, v^i) = f(s^i, a^i, s'^i) \exp\{v^i \phi(s^i, s'^i) - \mathcal{A}(v^i)\}$
  - Uncertainty movement can be modeled
  - Applicable in several applications
- Partial Observation**
  - Agents can only observe local neighboring zones
- Reward Function**
  - A positive reward for reaching the goal
  - A negative reward for time step or congestion

## Policy Optimization in DC Form

- Original Objective Function

$$J(\pi, \mu) = \sum_{\varsigma} p(\varsigma) G(\varsigma)$$

- Why DC programming?
  - The objective is non-linear and nonconvex. Direct optimization is difficult.
  - Nonlinear solvers cannot scale to large number of agents.

### DC Programming

- $\min\{u(x) - v(x) : x \in \Omega\}$
  - $u(x)$  and  $v(x)$  are convex functions
  - Concave-Convex Procedure (CCP) can solve it iteratively.
- $$x_{k+1} = \operatorname{argmin}\{u(x) - x^T \nabla v(x_k) : x \in \Omega\}$$

- Objective Function in CCP

$$\max_{\pi, \mu} \mathbb{E} \left[ \underbrace{Q^k(s, a, v)}_{\text{fixed}} \left( \underbrace{\sum_{i=1}^N \log \pi^i(a^i | s^i, y^i)}_{\text{concave}} \right) \right] + \gamma \mathbb{E} \left[ \underbrace{Q^k(s', a', v')}_{\text{fixed}} \left( \underbrace{\sum_{i=1}^N \phi(s', s'^i) \mu^i(a^i, s^i, y^i)}_{\text{linear}} \right) - \underbrace{\left( \sum_{i=1}^N A(\mu^i(a^i, s^i, y^i)) \right)}_{\text{concave}} \right) \right]$$

### Planning

- With known model
- Get a better policy  $\pi_{k+1}$  and  $\mu_{k+1}$  iteratively
- General nonlinear solver can be used to optimize it

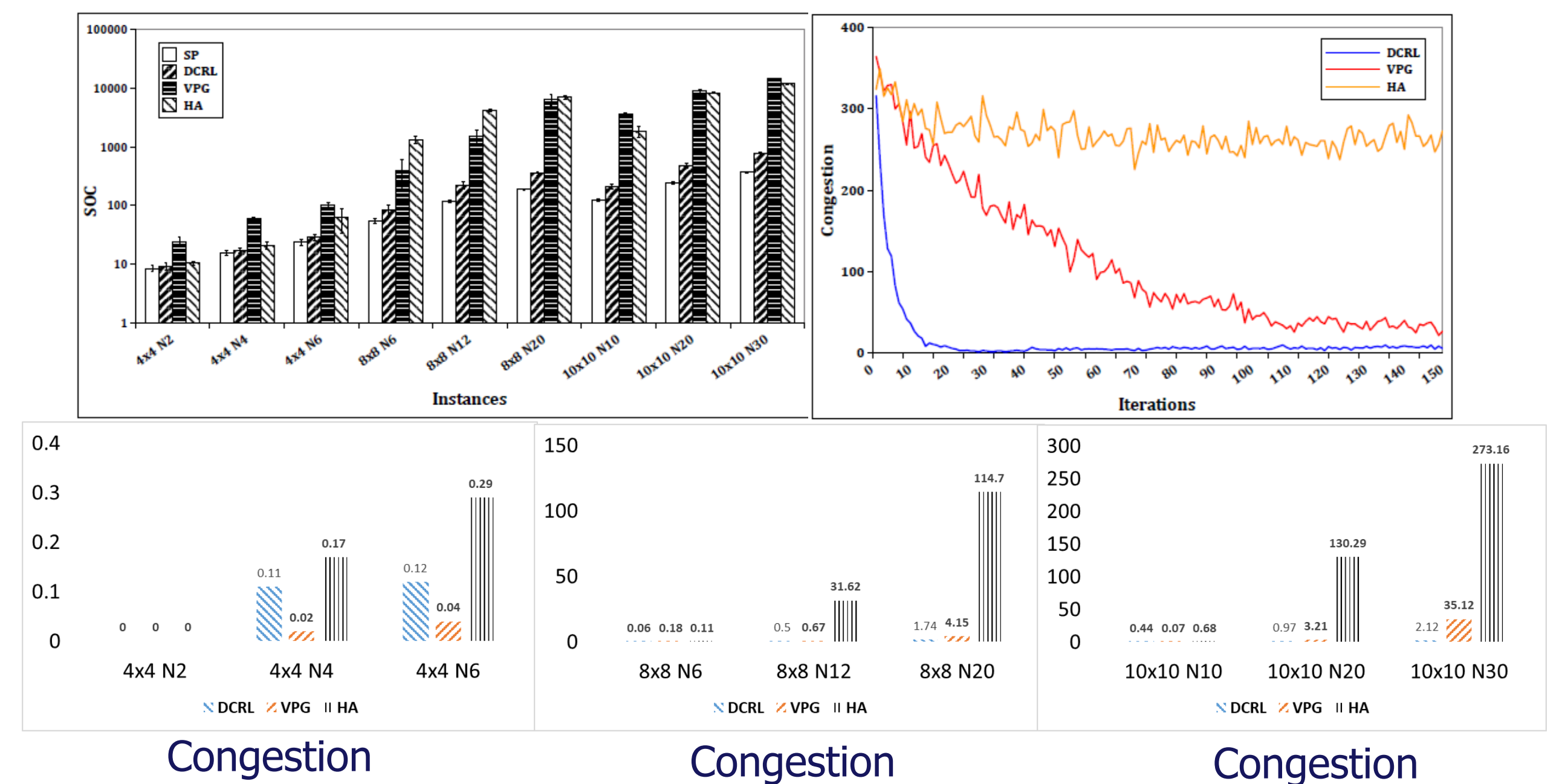
### Learning

- Model free setting
- Assume parameterized policy  $\pi_{\theta}$  and  $\mu_{\theta}$
- Multiagent credit assignment (Low variance gradient estimates)

## Experimental Results

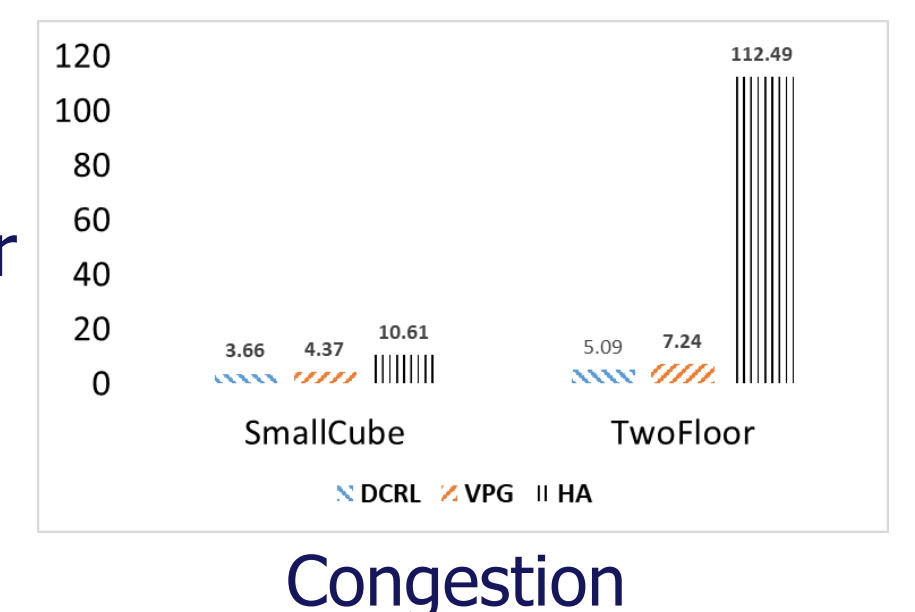
### 2D Open Grids

- Settings**
  - 4x4 grid, 2 agents to 10x10 grid, 10 agents
  - Starting and goal locations were the top and bottom rows.
  - The capacity of each zone was sampled uniformly from a range e.g., [1,4]
  - $t_{\min}=1$ ,  $t_{\max}=5$  (binomial distribution as travel time dist.)
- Comparison against**
  - DCRL (our approach); VPG (vanilla Policy Gradient);
  - SP (each agent follows shortest path)
  - HA (multiagent Q-learning based for hybrid action space)
- Results:**
  - Our approach DCRL provides much better SOC quality can minimize congestion
  - VPG suffers due to lack of effective credit assignment
  - HA isn't able to handle a large number of agents



### 3D Maps ("SmallCube" and "TwoFloor")

- Settings**
  - 10 and 20 agents for SmallCube and TwoFloor
  - Capacity of was uniformly sampled from [1,3] and [1,4].



## Acknowledgements

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